



Department of Energy
Washington, DC 20585

JUL 09 2007

MEMORANDUM FOR HEADS OF DEPARTMENTAL ELEMENTS

FROM:

DEAN CHILDS 
DEPUTY DIRECTOR, OFFICE OF INTERNAL REVIEW

SUBJECT:

Government Accountability Office Reports for Consideration
During Year-End Management Control Evaluation Process

DOE Order 413.1A, Management Control Program, Chapter VI, Section 2, requires the Office of the Chief Financial Officer to provide a list of Government Accountability Office (GAO) reports received by the Department to all Departmental Elements for consideration during the year-end management control evaluation process. Your organization should review the attached listings as part of your annual Summary Management Review to determine whether any issues, individually or collectively, might rise to the level of a reportable problem. In doing so, it is important to look at all audit reports, not just those assigned to your office/program, as some audits may identify crosscutting issues that could affect multiple organizations.

Attachment 1 provides a list of all GAO reports received during the period June 1, 2006, through May 31, 2007. Attachment 2 identifies those reports that have associated recommendations sorted by Primary Action Activity. Your office should review this information to determine which reports are applicable to your organization. Copies of the listed reports may be obtained via the internet at <http://www.gao.gov/reports.htm>.

If your staff has any questions, please contact Ms. Merley L. Lewis of the Departmental Audit Liaison Team at (202) 586-3469.

Attachments

cc: w/Attachments
GAO Coordinators
Merley Lewis
Dianne Williams



Final Report Received from 6/1/2006 to 5/31/2007

Report Number (JobNumber)	Date Final Report Issued	Report Title
GAO-06-547 190135	6/27/2006	DEPARTMENT OF ENERGY, OFFICE OF WORKER ADVOCACY: Deficient Controls Led to Millions of Dollars in Improper and Questionable Payments to Contractors
GAO-06-970 195076	9/26/2006	FINANCIAL MANAGEMENT: Improvements Under Way but Serious Financial Systems Problems Persist
GAO-07-95 198355	11/17/2006	PRESIDENT'S MANAGEMENT AGENDA: Review of OMB's Improved Financial Performance Scorecard Process
GAO-06-831 310604	9/12/2006	ENTERPRISE ARCHITECTURE: Leadership Remains Key to Establishing and Leveraging Architectures for Organizational Transformation
GAO-06-476 310741	7/6/2006	TELECOMMUNICATIONS: Full Adoption of Sound Transition Planning Practices by GSA and Selected Agencies Could Improve Planning Efforts
GAO-06-647 310805	7/19/2006	INFORMATION TECHNOLOGY: Agencies and OMB Should Strengthen Processes for Identifying and Overseeing High Risk Projects
GAO-06-753 320381	6/30/2006	OLYMPIC SECURITY: Better Planning Can Enhance U.S. Support to Future Olympic Games
GAO-07-170 320397	12/19/2006	INTERNATIONAL ENERGY: International Forums Contribute to Energy Cooperation Within Constraints
GAO-06-706 350684	6/19/2006	MANAGING SENSITIVE INFORMATION: DOD Can More Effectively Reduce the Risk of Classification Errors
GAO-06-369 350774	7/21/2006	MANAGING SENSITIVE INFORMATION: Departments of Energy and Defense Polices and Oversight Could be Improved
GAO-07-36 360556	1/31/2007	NATIONAL NUCLEAR SECURITY ADMINISTRATION: Additional Actions Needed to Improve Management of the Nation's Nuclear Programs
GAO-06-352 360559	7/10/2006	NUCLEAR CLEANUP OF ROCKY FLATS: DOE Can Use Lessons Learned to Improve Oversight of Other Sites' Cleanup Activities
GAO-06-872 360565	10/3/2006	STRATEGIC PETROLEUM RESERVE: Available Oil Can Provide Significant Benefits, but Many Factors Should Influence future Decisions about Fill, Use and Expansion
GAO-06-668 360592	7/6/2006	ENERGY SECURITY: Issues Related to Potential Reductions in Venezuelan Oil Production
GAO-07-283 360601	3/30/2007	CRUDE OIL: Uncertainty About Future Oil Supply Makes It Important to Develop a Strategy For Addressing a Peak and Decline in Oil Production
GAO-07-42 360605	3/1/2007	ENERGY EFFICIENCY: Long-Standing Problems with DOE's Program for Setting Efficiency Standards Continue to Result In Forgone Energy Savings
GAO-06-722 360606	8/1/2006	DOE CONTRACTING: Better Performance Measures and Management Needed to Address Delays in Awarding Contracts

Final Report Received from 6/1/2006 to 5/31/2007

Report Number (Job Number)	Date Final Report Issued	Report Title
GAO-06-723 360610	6/16/2006	U.S. Enrichment Corporation Privatization: USEC's Delays in Providing Data Hinder DOE's Oversight of the Uranium Decontamination Agreement
GAO-07-41C 360621	3/16/2007	The Department of Energy's (DOE) and Nuclear Regulatory Commission (NRC) Protection of Special Nuclear Materials
GAO-07-106 360625	1/22/2007	DEPARTMENT OF ENERGY: Key Challenges Remain for Developing and Deploying Advanced Energy Technologies to Meet Future Needs
GAO-07-221 360632	3/22/2007	LOW-LEVEL RADIOACTIVE WASTE MANAGEMENT: Approaches Used By Foreign Countries May Provide Useful Lessons for Managing U.S. Radioactive Waste
GAO-06-785 360635	7/21/2006	MANAGING SENSITIVE INFORMATION: Actions Needed to Ensure Recent Changes in DOE Oversight Do Not Weaken an Effective Classification System
GAO-06-1018 360638	9/5/2006	NUCLEAR WASTE: DOE's Efforts to Protect the Columbia River from Contamination Could Be Further Strengthened
GAO-07-282 360642	3/13/2007	NUCLEAR NONPROLIFERATION: DOE's International Radiological Threat Reduction Program Needs to Focus Future Efforts on Securing the Highest priority Radiological Sources
GAO-07-336 360652	3/28/2007	DEPARTMENT OF ENERGY: Major Construction Projects Need a Consistent Approach for Assessing Technology Readiness to Help Avoid Cost Increases and Delays
GAO-06-1015 360657	9/25/2006	COMBATING NUCLEAR TERRORISM: Federal Efforts to Respond to Nuclear and Radiological Threats and to Protect Emergency Response Capabilities Could be Strengthened
GAO-07-285 360664	3/16/2007	CLIMATE CHANGE: Financial Risks to Federal and Private Insurers In Coming Decades are Potentially Significant
GAO-06-602T 360665	4/30/2006	HANFORD WASTE TREATMENT PLANT: Contractor and DOE Management Problems Have Led to Higher Costs, Construction Delays, and Safety Concerns
GAO-07-404 360674	4/2/2007	NUCLEAR NONPROLIFERATION: Progress Made in Improving Security at Russian Nuclear Sites, but the Long-Term Sustainability of U.S.-Funded Security Upgrades Is Uncertain
GAO-07-316 360675	3/15/2007	MARITIME SECURITY: Public Safety Consequences of a Terrorist Attack on a Tanker Carrying Liquefied Natural Gas Need Clarification
GAO-07-38 360677	11/20/2006	SMALL BUSINESS INNOVATION RESEARCH: Agencies Need to Strengthen Efforts to Improve the Completeness, Consistency, and Accuracy of Awards Data
GAO-07-243R 360695	2/2/2007	NUCLEAR WEAPONS: Annual Assessment of the Safety, Performance and Reliability of the Nation's Stockpile
GAO-07-315 360701	2/20/2007	CRUDE OIL: California Crude Oil Price Fluctuations Are Consistent with Broader Market Trends
GAO-07-539RSU 360737	3/23/2007	Review of the National Nuclear Security Administration's Storage of Plutonium Pits at the Pantex Plant

Final Report Received from 6/1/2006 to 5/31/2007

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Report Number (JobNumber)	Date Final Report Issued	Report Title
GAO-07-297R 360758	1/19/2007	YUCCA MOUNTAIN PROJECT: Information on Estimated Costs to Respond to Employee E-mails That Raised Questions about Quality Assurance
GAO-07-339R 360773	3/1/2007	DEPARTMENT OF ENERGY: Steps Needed to Better Manage the Financial Risk and Help Ensure the Success of the New Loan Guarantee Program for Innovative Technologies
GAO-07-286SU 440406	1/24/2007	MARTIME SECURITY: Federal Efforts Needed to Address Challenges in Preventing and Responding to Terrorist Attacks on Energy Commodity Tankers
GAO-07-39 440460	10/16/2006	CRITICAL INFRASTRUCTURE PROTECTION: Progress Coordinating Government and Private Sector Efforts Varies by Sectors' Characteristics
GAO-07-274 450462	2/28/2007	FEDERAL CAPITAL: Three Entities' Implementation of Capital Planning Principles is Mixed
GAO-06-945 542069	9/8/2006	GAS PIPELINE SAFETY: Risk-Based Standards Should Allow Operators to Better Tailor Reassessments to Pipeline Threats
GAO-07-246R 542094	2/9/2007	Underinflated Tires in the United States

Total Records: 41

STATUS OF GAO FINAL REPORTS**Primary Action Activity:****ALL Offices****From 6/1/2006 to 5/31/2007**

Title Actual Activity	Due			Response Package Due to ME		Primary Action
	Rec'd	GAO	Dist.	Target	Actual	Activity
BSO - Berkeley Site Office						
GAO-07-285 CLIMATE CHANGE: Financial Risks to Federal and Private Insurers In Coming Decades are Potentially Significant	4/19/07	2/20/07	1/24/07	2/16/07	2/7/07	BSO
CF - Office of Chief Financial Officer						
GAO-07-339R DEPARTMENT OF ENERGY: Steps Needed to Better Manage the Financial Risk and Help Ensure the Success of the New Loan Guarantee Program for Innovative Technologies	3/1/07	2/14/07	2/2/07	2/14/07	2/16/07	CF
GAO-07-274 FEDERAL CAPITAL: Three Entities' Implementation of Capital Planning Principles is Mixed	3/28/07	1/16/07	12/17/06	12/17/06	1/24/07	CF
EE - Office of Energy Efficiency and Renewable Energy						
GAO-07-106 DEPARTMENT OF ENERGY: Key Challenges Remain for Developing and Deploying Advanced Energy Technologies to Meet Future Needs	1/22/07	12/1/06	12/1/06	12/1/06	12/1/06	EE
GAO-07-246R Underinflated Tires in the United States	2/9/07	1/30/07	1/26/07	1/29/07	1/30/07	EE
GAO-07-42 ENERGY EFFICIENCY: Long-Standing Problems with DOE's Program for Setting Efficiency Standards Continue to Result In Forgone Energy Savings	3/1/07	1/12/07	1/12/07	1/11/07	1/12/07	EE
EI - Energy Information Administration						
GAO-07-283 CRUDE OIL: Uncertainty About Future Oil Supply Makes It Important to Develop a Strategy For Addressing a Peak and Decline in Oil Production	3/30/07	2/2/07	1/8/07	2/1/07	2/7/07	EI
EM-HQ - Office of Environmental Management						
GAO-06-723 U.S. Enrichment Corporation Privatization: USEC's Delays in Providing Data Hinder DOE's Oversight of the Uranium Decontamination Agreement	6/19/06	6/2/06	5/19/06	6/5/06	6/5/06	EM-HQ
GAO-06-352 NUCLEAR CLEANUP OF ROCKY FLATS: DOE Can Use Lessons Learned to Improve Oversight of Other Sites' Cleanup Activities	7/10/06	5/25/06	5/11/06	5/24/06	5/24/06	EM-HQ

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EM-HQ - Office of Environmental Management						
GAO-06-1018 NUCLEAR WASTE: DOE's Efforts to Protect the Columbia River from Contamination Could Be Further Strengthened	9/5/06	8/18/06	8/18/06	8/18/06	8/18/06	EM-HQ
GAO-07-221 LOW-LEVEL RADIOACTIVE WASTE MANAGEMENT: Approaches Used By Foreign Countries May Provide Useful Lessons for Managing U.S. Radioactive Waste	3/22/07	2/16/07	2/16/07	2/15/07	3/7/07	EM-HQ
FE - Office of Fossil Energy						
GAO-06-945 GAS PIPELINE SAFETY: Risk-Based Standards Should Allow Operators to Better Tailor Reassessments to Pipeline Threats	9/8/06	8/16/06	8/16/06	8/16/06	8/16/06	FE
GAO-06-872 STRATEGIC PETROLEUM RESERVE: Available Oil Can Provide Significant Benefits, but Many Factors Should Influence future Decisions about Fill, Use and Expansion	10/3/06	8/1/06	7/12/06	7/24/06	7/25/06	FE
HS - Office of Health, Safety and Security						
GAO-06-706 MANAGING SENSITIVE INFORMATION: DOD Can More Effectively Reduce the Risk of Classification Errors	6/19/06	2/7/06	2/7/06	2/7/06	2/7/06	HS
GAO-06-547 DEPARTMENT OF ENERGY, OFFICE OF WORKER ADVOCACY: Deficient Controls Led to Millions of Dollars in Improper and Questionable Payments to Contractors	6/27/06	4/14/06	4/4/06	4/13/06	4/13/06	HS
IM - Office of Chief Information Officer						
GAO-06-476 TELECOMMUNICATIONS: Full Adoption of Sound Transition Planning Practices by GSA and Selected Agencies Could Improve Planning Efforts	7/6/06	5/26/06	5/15/06	5/25/06	5/25/06	IM
GAO-06-831 ENTERPRISE ARCHITECTURE: Leadership Remains Key to Establishing and Leveraging Architectures for Organizational Transformation	9/12/06	6/21/06	6/21/06	6/21/06	6/21/06	IM
MA - Office of Management						
GAO-06-722 DOE CONTRACTING: Better Performance Measures and Management Needed to Address Delays in Awarding Contracts	8/1/06	6/23/06	6/22/06	6/22/06	6/22/06	MA

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MA - Office of Management						
GAO-07-336 DEPARTMENT OF ENERGY: Major Construction Projects Need a Consistent Approach for Assessing Technology Readiness to Help Avoid Cost Increases and Delays	3/28/07	2/28/07	2/6/07	2/27/07	3/7/07	MA
NA - National Nuclear Security Administration						
GAO-06-753 OLYMPIC SECURITY: Better Planning Can Enhance U.S. Support to Future Olympic Games	6/30/06	6/14/06	6/14/06	6/13/06	6/13/06	NA
GAO-06-1015 COMBATING NUCLEAR TERRORISM: Federal Efforts to Respond to Nuclear and Radiological Threats and to Protect Emergency Response Capabilities Could be Strengthened	9/25/06	8/30/06	8/30/06	8/30/06	8/30/06	NA
GAO-07-36 NATIONAL NUCLEAR SECURITY ADMINISTRATION: Additional Actions Needed to Improve Management of the Nation's Nuclear Programs	1/31/07	12/20/06	12/5/06	12/19/06	12/20/06	NA
GAO-07-243R NUCLEAR WEAPONS: Annual Assessment of the Safety, Performance and Reliability of the Nation's Stockpile	2/2/07	1/12/07	1/12/07	1/12/07	1/12/07	NA
GAO-07-282 NUCLEAR NONPROLIFERATION: DOE's International Radiological Threat Reduction Program Needs to Focus Future Efforts on Securing the Highest priority Radiological Sources	3/13/07	10/14/05	10/14/05	10/14/05	10/14/05	NA
GAO-07-404 NUCLEAR NONPROLIFERATION: Progress Made in Improving Security at Russian Nuclear Sites, but the Long-Term Sustainability of U.S.-Funded Security Upgrades Is Uncertain	4/2/07	2/16/07	2/5/07	2/5/07	2/5/07	NA
OE - Office of Electricity Delivery and Energy Reliability						
GAO-07-286SU MARITIME SECURITY: Federal Efforts Needed to Address Challenges in Preventing and Responding to Terrorist Attacks on Energy Commodity Tankers	1/24/07	1/30/07	1/23/07	1/29/07	1/30/07	OE
GAO-07-316 MARITIME SECURITY: Public Safety Consequences of a Terrorist Attack on a Tanker Carrying Liquefied Natural Gas Need Clarification	3/15/07	1/30/07	1/30/07	1/30/07	1/30/07	OE

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PI - Office of Policy and International Affairs						
GAO-06-668 ENERGY SECURITY: Issues Related to Potential Reductions in Venezuelan Oil Production	7/6/06	6/14/06	6/6/06	6/13/06	6/14/06	PI
GAO-07-170 INTERNATIONAL ENERGY: International Forums Contribute to Energy Cooperation Within Constraints	12/19/06	12/8/06	11/28/06	11/28/06	11/28/06	PI
RW - Office of Civilian Radioactive Waste Management						
GAO-07-297R YUCCA MOUNTAIN PROJECT: Information on Estimated Costs to Respond to Employee E-mails That Raised Questions about Quality Assurance	1/19/07	12/22/06	12/18/06	12/21/06	12/22/06	RW
SC-HQ - Office of Science						
GAO-07-38 SMALL BUSINESS INNOVATION RESEARCH: Agencies Need to Strengthen Efforts to Improve the Completeness, Consistency, and Accuracy of Awards Data	11/20/06	10/6/06	9/21/06	9/21/06	9/21/06	SC-HQ
Total Records:	31					